

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 1.53(b))</i>		Attorney Docket No. 11520.0340 First Inventor Prasad et al. Title Ceramic Based Nanoparticles for ... Express Mail Label No. ER 635560191 US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		Mail Stop Patent Application ADDRESS TO: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>21</u>] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>8</u>] 5. <input type="checkbox"/> Oath or Declaration [Total Pages <u>1</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies	
ACCOMPANYING APPLICATION PARTS			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input checked="" type="checkbox"/> Other: <u>Check in the amount of \$428.00 and an</u> <u>unexecuted Declaration</u>			
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of the prior application No: <u>1</u> Prior application information: Examiner: _____ Group/Art Unit: _____ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number: 26712		OR <input type="checkbox"/> Correspondence address below	
NAME Ranjana Kadle Hodgson Russ LLP			
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Signature		Date	January 26, 2004

"Express Mail" Mailing Label Number ER 635560191 US

Date of Deposit January 26, 2004

FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent Fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 428.00)

Application Number

Filing Date

January 26, 2004

First Named Inventor

Prasad et al.

Examiner Name

Group/Art Unit

Attorney Docket Number

11520.0340

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below

☒ Charge any fee deficiencies or credit any overpayments

☐ Charge any additional fees during pendency of this application.

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

Fee Paid

1001 770 2001 385 Utility filing fee \$ 385

1002 340 2002 170 Design filing fee \$

1003 530 2003 265 Plant filing fee \$

1004 770 2004 385 Reissue filing fee \$

1005 160 2005 80 Provisional filing fee \$

SUBTOTAL (1) \$ 385

2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE

Extra Fee from

Claims below

Total Claims /20/ - 20** = /0/ x /9/ = \$ 0

Independent Claims /4/ - 3** = /1/ x /43/ = \$ 43

Multiple dependent / / x / / = \$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

Fee Paid

1202 18 2202 9 Claims in excess of 20 \$

1201 86 2201 43 Independent claims in excess of 3 \$

1203 290 2203 145 Multiple dependent claim if not paid \$

1204 86 2204 43 **Reissue independent claims over original patent \$

1205 18 2205 9 **Reissue claims in excess of 20 and over original patent \$

SUBTOTAL (2) \$ 43

SUBMITTED BY:

Ranjana Kadle

Reg. No. 40,041

SIGNATURE

DATE: January 26, 2004

Telephone: (716) 848-1628

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

1051 130

2051 65

Surcharge - late filing fee or oath

\$

1052 50

2052 25

Surcharge - late provisional filing fee or cover sheet

\$

1053 130

1053 130

Non-English specification

\$

1812 2,520

1812 2,520

For filing a request for *ex parte* reexamination

\$

1804 920*

1804 920*

Requesting Publication of SIR prior to Examiner Action

\$

1805 1,840*

1805 1,840*

Requesting Publication of SIR after Examiner Action

\$

1251 110

2251 55

Extension for reply within first month

\$

1252 420

2252 210

Extension for reply within second month

\$

1253 950

2253 475

Extension for reply within third month

\$

1254 1,480

2254 740

Extension for reply within fourth month

\$

1255 2,010

2255 1,005

Extension for reply within fifth month

\$

1401 330

2401 165

Notice of Appeal

\$

1402 330

2402 165

Filing a brief in support of an appeal

\$

1403 290

2403 145

Request for oral hearing

\$

1451 1,510

1451 1,510

Petition to institute a public use proceeding

\$

1452 110

2452 55

Petition to revive - unavoidable

\$

1453 1,330

2453 665

Petition to revive - unintentional

\$

1501 1,330

2501 665

10 advance copies

\$

1502 480

2502 240

Utility issue fee (or reissue)

\$

1503 640

2503 320

Design issue fee

\$

1460 130

1460 130

Plant issue fee

\$

1807 50

1807 50

Petitions to the Commissioner

\$

1806 180

1806 180

Processing fee under 37 CFR 1.17(q)

\$

8021 40

8021 40

Submission of Information Disclosure Statement

\$

1809 770

2809 385

Recording each patent assignment per property (times number of properties)

\$

1810 770

2810 385

Filing a submission after final rejection(37 CFR 1.129(a))

\$

1801 770

2801 385

For each add'l invention to be examined(37 CFR 1.129(b))

\$

1802 900

1802 900

Request For Continued Examination (RCE)

\$

1802 900

1802 900

Request for Expedited Examination of a design application

\$

Other fee (specify)

\$

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$ 0

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